



UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/855.073	05/14/2001	David Tucker	37357.0100

CONFIRMATION NO. 8589

FORMALITIES LETTER



OC00000006304315

SNELL & WILMER
400 E. VAN BUREN
PHOENIX, AZ 85004

Date Mailed: 07/17/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/20/2001 SZENDIE1 00000050 09855073

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65.00 OP

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CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. §1.8

I hereby certify that this correspondence and attached documents in response to a Notice to File Missing Parts of Application, pursuant to 37 C.F.R. §1.8, are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box MISSING PARTS, Assistant Commissioner for Patents and Trademarks, Washington D.C. 20231, on.

Date: 9-14-01

By: [Signature]

Signature of person depositing U.S. Mail

**IN THE UNITED STATES PATENT AND
TRADEMARK OFFICE**

PATENT

*TRANSMITTAL OF MISSING PARTS FOR
PATENT APPLICATION PURSUANT TO
37 C.F.R. §1.53(b)*

Applicant(s): Tucker et al.

Atty Docket No.: 37357.0100

Serial No.: 09/855073

Group Art Unit: 2131

Filed: May 14, 2001

Examiner: To Be Assigned

TITLE: INFORMATION SECURITY
METHOD AND SYSTEM

Box MISSING PARTS
Assistant Commissioner for Patents and Trademarks
Washington, D.C. 20231-9998

Sir:

In response to the Notice to File Missing Parts of Reissue Application mailed on July 17, 2001 in the above-identified application, enclosed herewith are the missing parts needed to complete filing of the subject application.

I. Small Entity Status

- ☒ Small entity status under 37 C.F.R. §§1.9 and 1.27 is claimed.
☐ Verified statements to establish small entity status under 37 C.F.R. §§1.9 and 1.27 is enclosed.

II. Fee Calculation

- | | | |
|--|----------|----------|
| <input type="checkbox"/> Surcharge as set forth in 37 C.F.R. §1.27 | \$50.00 | N/A |
| <input type="checkbox"/> Basic Filing Fee | \$710.00 | N/A |
| <input checked="" type="checkbox"/> Surcharge as set forth in 37 C.F.R. §1.16(e) | \$130.00 | \$130.00 |

**Fee Calculation: Request for Extension of Time
pursuant to 37 C.F.R. §1.17(a)**

<input type="checkbox"/> Missing parts filed within first month after due date – add	\$ 110.00 +	N/A
<input type="checkbox"/> Missing parts filed within second month after due date – add	\$ 390.00 +	N/A
<input type="checkbox"/> Missing parts filed within third month after due date – add	\$ 930.00 +	N/A
<input type="checkbox"/> Missing parts filed within fourth month after due date – add	\$1470.00 +	N/A
Subtotal:		130.00

If one of the "Small entity" boxes above is checked, enter half (1/2) of the Subtotal and subtract. - -65.00

TOTAL FEES ENCLOSED **\$65.00**

III. Enclosures (check as applicable)

- ☒ Copy of Notice to File Missing Parts of Nonprovisional Application
- ☒ Declaration
- ☒ Other: Acknowledgement Postcard

IV. Extra copies of this letter:

- ☒ for charge to deposit account No. 19-2814 (**DEFICIENCY ONLY**)

V. Manner of Payment

- ☒ Snell & Wilmer Check No. 285288 in the amount of \$65.00.
- ☐ No additional fee is required.

VI. Assignment

- ☒ An Assignment of this application is enclosed. Please record the Assignment and return the recorded Assignment to the undersigned attorney.

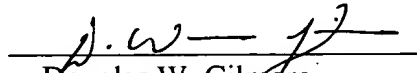
VII. Request for Filing Date Correction

- ☐ Applicant further requests that the filing date of this application be corrected to, and that a corrected filing receipt be issued pursuant to the Request for Correction of Filing Receipt Data filed _____. The application was filed by Express Mail pursuant to 37 C.F.R. §1.10 on such date, and is accordingly entitled to that filing date. A copy of Request for Correction to Filing Receipt Data as filed is attached.

This statement does NOT authorize charge of the issue fee. The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any **deficiency** in the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under 37 C.F.R. §§1.16-1.18 (**deficiency** only) now or hereafter relative to this Application and the resulting Official document under 37 C.F.R. §1.20, or credit any overpayment to Account No. 19-2814 **for which purpose a duplicate copy of this sheet is attached.**

Respectfully submitted,

Date: 09/13/01

By: 
Douglas W. Gilmore
Reg. No. P46,658

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